

Page 1 of 2

IPU

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandra, Viginia 22313-1450 www.unpto.gov

FORMALITIES LETTER

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/815,727

04/02/2004

John D. Brennan

571-933

CONFIRMATION NO. 9476

001059 BERESKIN AND PARR SCOTIA PLAZA 40 KING STREET WEST-SUITE 4000 BOX 401 TORONTO, ON M5H 3Y2 CANADA

*9C00000012987029

RECEIVED

JUN 25 2004

BERESKIN & PARR

Date Mailed: 06/18/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

08/12/2004 EABUBAK1 00000093 10815727

01 FC:1051

130.00 OP

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -

Signature

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are received to a collection of information unless it displays a valid OMB control number.

	Application Number	10/815,727		
TRANSMITTAL	Filing Date	April 2, 2004		
FORM	First Named Inventor	John D. Brennan		
(to be used for all correspondence after initial filing)	Group Art Unit	1641		
	Evaminer Name	N/A		

			Examiner Name	N/A		
Total Number of Pages	in This Submission	7 Attorney Docket Number 571-933		571-933		
ENCLOSURES (check all that apply)						
Fee Transmittal Fo	orm		nment Papers Application)	After Allowance Communication to Group		
Fee Attached		☐ Drawin	ng(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	ponse	Licens	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petitio	n	☐ Proprietary Information		
Affidavits/decl	aration(s)		n to Convert to a ional Application	Status Letter		
Extension of Time	Request		of Attorney, Revocation ge of Correspondence Addres	Other Enclosure(s) (please identify below):		
Express Abandoni	ment Request	=	nal Disclaimer est for Refund	Declaration (37 CFR 1.63) for Utility or Design Application		
☐ Information Disclo	sure Statement	CD, N	umber of CD(s)			
Certified Copy of Priority Document(s)		Remarks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	ATURE OF	APPLICANT, ATTORNE	, OR AGENT		
Firm or Individual name	Patricia Power, Reg. No. 51,379					
Signature	P. Power					
Date August 10, 2004						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope						
addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:						
Typed or printed name						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

AUG 1 1 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Outline Act of 1357 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL	Сот		
FEE IRANSWILLIAL	Application Number	10	
for FY 2004	Filing Date	Ap	
	First Named Inventor	Jo	
Effective 10/01/2003. Patent fees are subject to annual revision.	_	L NIZ	

Effective 10/01/2003. Patent fees are subject to annual revision.

Examiner

Applicant claims small entity status. See 37 CFR 1.27

Art Unit

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Under the Paperwork

Complete if Known					
Application Number	10/815,727				
Filing Date	April 2, 2004				
First Named Inventor	John D. Brennan				
Examiner Name	N/A				
Art Unit	1641				
Attorney Docket No.	571-933				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None			3. ADDITIONAL FEES						
Deposit A	Account:	— Older —	_	<u>Large</u>	Entity	Small	Entity		
Deposit		022095		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number		022033		1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Deposit Account	Ве	ereskin & Parr		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is	s authorized to	o: (check all that apply)		1053	130	1053	130	Non-English specification	
	(s) indicated be	·	verpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any	additional fee(s) or any underpayment of		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge feet to the above-id	• •	elow, except for the filing account.	fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		ALCULATION		1251	110	2251	55	Extension for reply within first month	
1. BASIC F		, (<u>L</u> 00 <u>L</u>) ((1 0)		1252	420	2252	210	Extension for reply within second month	
Large Entity S				1253	950	2253	475	Extension for reply within third month	
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
	2001 385	Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
	2002 170	Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	9	SUBTOTAL (1) (\$)	0.00	1452	110	2452	55	Petition to revive - unavoidable	
				1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA C	CLAIM FEE	S FOR UTILITY ANI		1501	1,330	2501	665	Utility issue fee (or reissue)	
T	-1	Extra Claims below		1502	480	2502	240	Design issue fee	
Total Claims Independent		"= X	0.00	1503	640	2503	320	Plant issue fee	
Claims Multiple Deper		*= L X	0.00	1460	130	1460	130	Petitions to the Commissioner	
			لـــــــــــــــــــــــــــــــــــــ	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86	2202 9 2201 43			1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 145			1810	770	2810	385	For each additional invention to be	
1204 86	2204 43	3 ** Reissue independer over original patent	nt claims	1801	770	2801	395	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in ex	cess of 20	1802	900	1802	900		
and over original patent			Other	fee (sp	ecifv)		o, a doorgit application		
••		BTOTAL (2) (\$)	0.00				Filing F	ee Paid SUBTOTAL (3) (\$)	130.00
""or number	r previously pai	id, if greater; For Reissues	, see above					3001017E (3) (\$)	.00.00

SUBMITTED BY

Name (Print/Type)

Patricia Power

Registration No. (Attorney/Agent)

Signature

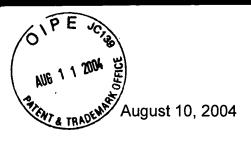
(Complete (if applicable))

Telephone (416) 364-7311

Date August 10, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Patricia Power B.Sc., Ph.D. (Chem) 416 957 1683 ppower@bereskinparr.com

Your Reference: 10/815,727 Our Reference: 571-933

ATTENTION: BOX MISSING PARTS

The Commissioner of Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Re:

U.S. Continuation-in-part Application No.: 10/815,727

Filed:

April 2, 2004

Entitled:

METHOD OF IMMOBILIZING MEMBRANE-ASSOCIATED

MOLECULES

Inventors:

John D. Brennan, Michael A. Brook and Travis Besanger

This is in response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) in the United States Patent and Trademark Office, dated June 18, 2004, a copy of which we attach.